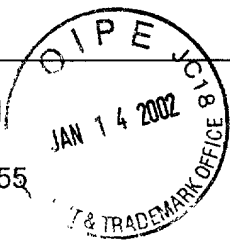


#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   |                              |
|---|------------------------------|
| Applicant(s): Servino et al                                   | Attorney Docket No.: G08.004 |
| Application No.: 09/944,055                                   | Group Art Unit: 2176         |
| Filed: 8/31/2001  |                              |
| Title: METHOD AND APPARATUS FOR<br>DATA STORAGE AND RETRIEVAL |                              |



RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility \$710.00 ( ) Design

(X) Additional claim fees of \$324.00

(X) Missing Parts Surcharge = \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month = \$110.00

( ) two months = \$390.00

( ) three months = \$890.00

( ) four months = \$1,390.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: October 26, 2001

Typed Name: Jill Holme

Signature:

Respectfully submitted,

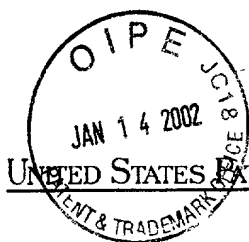
By

Kurt M. Maschoff

Reg. No. 38,235

(203) 972-0081 / Phone

Date: October 25, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/944,055         | 08/31/2001          | Severino              | G08.004                |

CONFIRMATION NO. 8339

## FORMALITIES LETTER



\*OC000000006865015\*

Buckley, Maschoff, Talwalkar, & Allison LLC  
111 Elm Street  
New Canaan, CT 06840

Date Mailed: 10/09/2001

01/18/2002 DTESSEM1 00000030 09944055

01 FC:101 740.00 OP  
02 FC:105 130.00 OP  
03 FC:102 252.00 OP  
04 FC:103 36.00 OP  
05 FC:197

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/20/2002 TV0111 00000003 501852 09944055

FILED UNDER 37 CFR 1.53(b)

01 FC:103 36.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

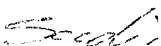
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.
  - \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 858.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

2011.10.25 09:44:50